- 1. Name of Listed Entity:ECE Industries Limited
- 2. Scrip Code/Name of Scrip/Class of Security: ECEIND
- 3. Share Holding Pattern Filed under: 31b
- 4. Share Holding Pattern as on: 30-Sep-2016
- 5. **Declaration**: The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No
1	Whether the Listed Entity has issued any partly	No
	paid up shares?	
2	Whether the Listed Entity has issued any	No
	Convertible Securities or Warrants?	
3	Whether the Listed Entity has any shares against	No
	which depository receipts are issued?	
4	Whether the Listed Entity has any shares in	No
	locked-in?	
5	Whether any shares held by promoters are pledge	No
	or otherwise encumbered?	
6	Whether the Listed Entity has issued any	No
	differential Voting Rights?	

Table I - Summary Statement holding of specified securities

Categ o	Category of shareholder	Nos. of shareh	No. of fully paid up	No. of Partly	No. of shares	Total nos.	Shareholding as a % of total		nber of \ eld in ea	ch clas		No. of Shares	Shareholding , as a % assuming	Numb Locke	ed in	Sł	nber of hares	Number of equity
(I)	(II)	olders	equity	paid-up	underlying	share s	no. of shares			rities		Underlyin g	full conversion	sha	res		dged or	shares held
		(III)	shares	equity	Depository	held	(calculated as		(1	X)		Outstanding	of convertible	///	1\		erwise	in dematerializ
			held	shares held	Receipts	(VII)=	per SCRR,					convertible	securities ( as a	(XI	<u>1)</u>		mbered	
			(IV)		(VI)	(IV)+(V)+	1957) (VIII)					securities	percentage of diluted share			(-	XIII)	ed form
				(V)		(VI)	, ,	No	of Voti	.~	Total	(including	unuteu snare	No.	Asa	No.	Asa	(XIV)
							Asa % of		Rights	g	as a	Warrants)	capital)	(a)	% of	(a)	% of	(XIV)
							(A+B+C2)	Clas	Clas	Tot	% of	(X)	(VI)_ (\(III\), (V)	(a)	total	(a)	total	
								S	Clas	al	(A+B+		(XI)=(VII)+(X)		Shar		Share	
								eg:	eg:y	aı	(ATD T		As a % of		es		s held	
								X	og.,		-,		(A+B+C2)		held		(b)	
								^							(b)		()	
															( )			
Δ	Promoter &	Q	4867229	0	0	486722	66.78	4867	n	186	66.78	0	66.78	0	0			4867229
<i>I</i> 1	promoter &	/	TUU 1 227	U	U	TUU 1 22	00.70	T007	U	<del>1</del> 00	00.76	U	00.70	V	U			TUU1227

	Promoter Group					9		229		722 9								
В	Public	4071	2421416	0	0	242141 6	33.22	2421 416	0	242 141 6	33.22	0	33.22	0	0			2261463
C	Non Promoter- Non Public	0	0	0	0	0	0	0	0	0	0		0	0	0	0	0	0
C1	Shares underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
C2	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Total	4080	7288645	0	0	728864 5	100	7288 645	0	728 864 5	100	0	100	0	0			7128692

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name	PAN	No. of	No. of	Partly	Nos. of	Total	Sharehol	Numb	er of Votin	ng Righ	hts held in	No. of	Shareholdin	Numi	ber of	Nun	nber of	Number of
	of the	(II)	shareh	fully	paid-up	shares	nos.	ding %		ch class	of secu		Shares	g , as a %	Lock	red in	Sł	nares	equity
	Shareholders		older	paid	equity	underlying	shares	calculate		(I	X)		Underlying	assuming		res		lged or	shares held
	(1)		(III)	up equity	shares held	Depository Receipts	held (VII =	d as per SCRR,	1				Outstanding convertible	full conversion	(X	II)		erwise mbered	in dematerializ
				share	(V)	(VI)	IV+V+VI)	1957	1				securities	of	1			XIII)	ed form
				s held	] ``	, ,	,		No of	Voting Ri	ghts	Total as	(including	convertible	No.	Asa	No.`	Ás a	(XIV)
				(IV)				As a % of (A+B+C2)				a % of Total	Warrants)	securities (	(a)	% of	(a)	% of total	
								(VIII)	Class	Class	Tot	Voting	(X)	as a percentage		total Shar		share	
								( ,	Class X	Y	al	rights		of diluted	j	es		s held	
										-				share		held		(b)	
														capital) (XI)=		(b)			
														(VII)+(X) as a					
														% of					
														A+B+C2					
1	Indian		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
a	Individuals/Hi		5	13668	0	0	136689	18.75	13668	0	136	18.75	0	18.75	0	0			1366896
	ndu undivided			96			6		96		689								
				70			O				_								
	Family										6								
	JAYASHREE	AFAP		11688	0	0	11688	.16	11688	0	116	.16	0	.16	O	0			11688
	MOHTA	M490									88								
		3M																	
	KUMAR	AEFP		6750	0	0	6750	.09	6750	0	675	00	0	.09	0	0			6750
				0/30	U	U	0/30	.09	0/30	U	0/3	.09	U	.09	U	U			0730
	MANGALA	B592									0								
	M BIRLA	6H																	
	MOULISHR	AEN		100	0	0	100	0	100	0	100	0	0	0	0	0			100
		PM62		100		O	100		100		100			O	O				100
		38B																	
	PRAKASH	AGU		13481	0	0	134815	18.5	13481	0	134	18.5	0	18.5	0	0			1348158
	KUMAR	PM22		58			8		58		815								
				50			O		30		013								
		60N									8								
	SAKATE	AFW		200	0	0	200	0	200	0	200	0	0	0	0	0			200
	KHAITAN	PK06																	
		95J																	
b	Central		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Government/																		
	State																		
	Duic	l						<u> </u>	1		<u> </u>	<u> </u>			1	l			

	Government(s																
c	Financial Institutions/ Banks		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d	Any Other (specify)		4	35003 33	0	0	350033 3	48.02	35003 33		350 033 3	48.02	0	48.02	0	0	3500333
	Bodies Corporate		4	35003 33	0	0	350033 3	48.02	35003 33		350 033 3	48.02	0	48.02	0	0	3500333
	ESSEL MINING AND INDUSTRIE S LIMITED	AAA CE66 07L		85730	0	0	85730	1.18	85730		857 30	1.18	0	1.18	0	0	85730
	BHIRAGAC HA FINANCE COMPANY PRIVATE LTD	AAB CB03 74J		25548 2	0	0	255482	3.51	25548 2		255 482	3.51	0	3.51	0	0	255482
	JAYASHREE FINVEST PRIVATE LIMITED	AAB CK40 95K		44912 4	0	0	449124	6.16	44912 4	0	449 124	6.16	0	6.16	0	0	449124
	JAYANTIKA INVESTMEN T & FINANCE LIMITED (FORMERLY : PARVATI TEA COMPANY PRIVATE LTD.)	CP59 02D		27099 97	0	0	270999 7	37.18	27099 97		270 999 7	37.18	0	37.18	0	0	2709997

	Sub-Total (A)(1)		48672 29	0	0	486722 9	66.77	48672 29	0	486 722 9	66.77	0	66.77	0	0	4867229
2	Foreign	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a	Individuals (Non- Resident Individuals/	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Foreign Individuals)															
h	Government	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
c	Institutions	0	0	0	0	0	0	0	0		0	0	0	0	0	0
d	Foreign Portfolio Investor	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
e	Any Other (specify)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub-Total (A)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		48672 29	0	0	486722 9	66.77	48672 29		486 722 9	66.77	0	66.77	0	0	4867229

Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareh older (III)	No. of fully paid up equity share	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held <u>VII =</u> IV+V+VI	Sharehol ding % calculate d as per SCRR, 1957		ch class		nts held in Prities	No. of Shares Underlying Outstanding convertible securities	Total shareholdin g, as a % assuming full conversion	Lock sha	ber of ed in eres (II)	Si pled oth encu	nber of hares Iged or erwise m bered (XIII)	Number of equity shares held in dematerializ ed form
			s held (IV)	, ,			As a % of (A+B+C2) VIII	No of  Class X	Voting Ri Class Y	ghts Tot al	Total as a % of Total Voting rights	(including Warrants) (X)	of convertible securities (as a percentage of diluted share capital) (XI)	No. (a)	As a % of total Shar es held (b)	No. (No t ap pli ca ble )	As a % of total share s held (Not applic able) (b)	(XIV)

1	Institutions	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a	Mutual	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Funds/																	
b	Venture	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Capital Funds																	
С	Alternate	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Investment																	
	Funds																	
d	Foreign	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Venture																	
	Capital																	
	Investors																	
e	Foreign	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Portfolio																	
	Investors																	
f	Financial	2	909	0	0	909	.01	909	0	909	.01	0	.01	0	0			909
	Institutions/																	
	Banks																	
g	Insurance	0	O	0	0	0	0	0	0	0	0	0	0	0	0			0
	Companies																	
h	Provident	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Funds/																	
	Pension																	
	Funds		_	_	_	_	_				<u></u>							_
i	Any Other	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	(specify)	2	0.00	0	0	000	0.1	000		000	0.1	0	0.1	0	0			000
	Sub-Total	2	909	0	0	909	.01	909	0	909	.01	0	.01	0	0			909
	(B)(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
2	Central	0	0	0	U	0	0	0	0	0	0	U	0	0	0			U
	Government/																	
	State Government(s																	
	)/ President of																	
	India																	
	Sub-Total	0	0	0	0	0	0	0	0	0	0	0	0	0	0		+	0
	(B)(2)	U		ľ	U	U		U		U		U	U	U	U			U
3	Non-	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
9	μ 1011 <sup>-</sup>	U	V	V	V	v	V	V	V	V	U	V	v	U	v	V	U	V

	institutions																		
a	Individuals -		3906	74400 8	0	0	744008	10.21	74400 8		744 008	10.21	0	10.21	0	0	0	0	589979
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		3903	60682 8	0	0	606828	8.33	60682 8		606 828	8.33	0	8.33	0	0			452799
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		3	13718 0	0	0	137180	1.88	13718 0		180	1.88	0	1.88	0	0			137180
	KOTHARI	AAB PK60 30D	1	91000	0	0	91000	1.25	91000		910 00	1.25	0	1.25	0	0			91000
b	NBFCs registered with RBI		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
c	Employee Trusts		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
d	Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0	0	0		0	0	0	0	0			0
е	Any Other (specify)		163	16764 99	0	0	167649 9	23	16764 99		167 649 9	23	0	23	0	0			1670575
	Bodies Corporate		107	15669 78	0	0	156697 8	21.5	15669 78		156 697 8	21.5	0	21.5	0	0			1563045
	HIMATSING KA	AAA CH64		82761	0	0	82761	1.14	82761	0		1.14	0	1.14	0	0			82761

CHEMICALS PRIVATE LTD.	14N															
INDIAN CHAIN PRIVATE LIMITED	AAA CI629 1G		80000 0	0	8	0000	1.1	80000	0	800 00	1.1	0	1.1	0	0	80000
PAT FINANCIAL CONSULTA NTS PRIVATE LIMITED	AAA CP31 15E	0	28103 0 5	0	2	81035	3.86	28103 5	0	281 035	3.86	0	3.86	0	0	281035
VISTAR FINANCIER S PVT LTD	AAB CV06 24K		30499 0 5	0	3	04995	4.18	30499 5	0	304 995	4.18	0	4.18	0	0	304995
SMIFS CAPITAL MARKETS LTD.	AAE CS41 39D	0	35800 0 0	0	3	58000	4.91	35800 0	0	358 000	4.91	0	4.91	0	0	358000
SARASWAT I COMMERCI AL (INDIA) LTD	CS79		22800 0 0	0	2	28000	3.13	22800 0	0	228 000	3.13	0	3.13	0	0	228000
Non-resident indian./OCB		27	72661 0	0	7	2661	1	72661	0	726 61	1	0	1	0	0	70670
Clearing Member		29	36860 0	0	3	6860	.51	36860	0	368 60		0	.51	0	0	36860
Sub-Total (B)(3)		4069	24205 0 07	0	7	42050	33.21	24205 07	0	242 050 7	33.21	0	33.21	0	0	226055
Total Public Shareholding (B)= (B)(1)+(B)(2) +(B)(3)		4071	24214 0 16	0	6	42141	33.22	24214 16	0	242 141 6	33.22	0	33.22	0	0	226146

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name	PAN	No. of	No. of	Partly	Nos. of	Total no.	Sharehol	Numb	er of Voti	ng Rigi	hts held in	No. of	Total	Numi	ber of	Nur	nber of	Number of
	of the	(II)	shareh	fully	paid-up	shares	shares	ding %	ea	ch class		rities	Shares	shareholdin		red in		hares	equity
	Shareholders		older	paid	equity	underlying	held	calculate		(4	IX)		Underlying	g, as a %	sha			dged or	shares held
	(1)		(III)	up equity	shares held	Depository Receipts	(VII = IV+V+VI)	d as per SCRR,					Outstanding convertible	assuming full	(X	II)		erwise Imbered	in dematerializ
				share	(V)	(VI)	10+0+01)	1957					securities	conversion				XIII)	ed form
				s held	(1-)	()		Asa % of	No of	Voting Ri	ights	Total as	(including	of	No.	Asa	No.	Asa	(XIV)
				(IV)				(A+B+C2)		_	_	a % of	Warrants)	convertible		% of	(No	% of	(Not
								(VIII)				Total	(X)	securities (		total	t	total	Applicable)
									Class	Class	Tot	Voting rights		as a percentage	-	Shar es	ap pli	share s held	
									Х	Y	al	gc		of diluted		held	ca	(Not	
														share			ble	appli c	
														capital) (XI)			)	able)	
1	Custodian/DR		0	0	0	0	0	0	0	0	0	0	0	(A1)	0	0			0
I			U	U	U	μ	U	υ	U	U	U	U	μ		U	U			U
	Holder																		
2	Employee		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Benefit Trust																		
	(under SEBI																		
	(Share based																		
	Employee																		
	Benefit)																		
	Regulations,																		
	2014)																		
	Total Non-		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Promoter-																		
	Non Public																		
1	Shareholding																		
1	(C)=																		
	(C)(1)+(C)(2)																		

Table II- Unclaim Details

Details of Shares which remain u	nclaimed may be given here along with details such as number of shareholders,
outstanding shares held in	demat/unclaimed suspense account, voting rights which are frozen etc.
No. of shareholders	No of share held

## Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders,				
outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.				
No. of shareholders	No of share held			

## Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding				
Name of shareholder	Name of PAC	No. of share	Holding %	